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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 3624 In re Patent Application of Examiner: S. Snapp KyongKun Oh Appln. No. 09/967,076 Response to Final Office Action Mailed 04/01/04 Under 37 CFR §1.116 Filed: September 28, 2001 METHOD AND SYSTEM FOR For: Customer No. 30349 PROVIDING REAL-TIME DATA TRANSMISSION FOR SECURITIES Jackson & Co., LLP TRADING 6114 La Salle Ave., Ste 507 Oakland, CA 94611-2082

CERTIFICATE OF FACSIMILE TRANSMISSION I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, Fax. No. (703) 872-9306, to the attention of Examiner Sandra Snapp, Art

on June 1, 2004,

Mail Stop AF P.O. Box 1450 **Commissioner for Patents** Alexandria, VA 22313-140

Sir:

In response to the Official Action Final mailed April 1, 2004, please amend the above identified application as follows:

REMARKS

Reconsideration is respectfully requested. Claims 1-15 are pending. Claims 1-15 are rejected as anticipated by US 2001/0044771 A1 (Usher). See Office Action Final mailed April 1, 2004.

For the reasons set forth below, Applicant respectfully submits that all pending claims are allowable.

This is a response under 37 CFR §1.116. The specific amendments and arguments herein, to the extent they were not presented earlier, are now presented because they are necessitated by the arguments made by the Examiner in the last office action. It is submitted that these amendments and remarks do not raise new issues and do not require any further searching.